

# How Can You Be an Effective Advocate for Your Heartfelt Cause?

## WALL STREET ETHICS

and



## ECONOMIC CALAMITIES (RS 190WE)

Continuing scandals in the wake of the '08 Financial Crisis may have created the impression that Wall Street ethics have suffered a tremendous deterioration. In reality, periodic downturns have been a normal part of U.S. economic cycles since 1785, and unethical behavior by Wall St. participants has contributed to many. This course will explore the most significant recessions/depressions where market manipulation, insider trading, and regulatory lapses may have either significantly contributed to their cause and/or magnified their impact. The goal is to gain an historical context of questionable ethical behavior, heighten awareness of self-interest acts that harmed the common good, and create “lessons learned” that could guide students toward positive ethical behavior in their lives.

**COURSE GOALS:** By the end of this course you will:

- Gain a practical understanding of how money, banking, and finance work.
- Learn what repetitive behavior patterns typically contribute to economic downturns.
- Develop critical thinking and advocacy skills to effectively convey your passion, inspire, and persuade.

**TIME:** Class meets every Thursday, 2-5 pm in Spring 2019 Quarter.

**PREQUESITES:** Upper division status; students from all majors are welcome. *Credit applies toward ethics coursework requirements.*

**INSTRUCTOR:** Mitchell Kauffman is a nationally recognized Wealth Manager and Certified Financial Planner who has built his independent practice over the past 35 years. As a Gaucho alumni and Santa Barbara resident, he is an active philanthropist who offers his business consultant expertise to a variety of non-profits.

Contact Professor Kauffman directly with questions: [mitchk@Kauffmanwm.com](mailto:mitchk@Kauffmanwm.com)